



9AM 2122

ATTORNEY DOCKET NO.: CIS03-19

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Philip J.S. Gladstone and Jeffrey A. Kraemer  
Serial No.: 10/071,328  
For: STATEFUL REFERENCE MONITOR  
Filing Date: February 8, 2002  
Examiner: Unassigned  
Art Unit: 2122

**RECEIVED**

APR 02 2003

Technology Center 2100

Former Attorney Docket No: 00229/7002  
New Attorney Docket Number: CIS03-19

**Certificate of Mailing Under 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on:

Date: March 26, 2003.

By: Crystal Slason

(Typed or printed name of person mailing  
Document, whose signature appears below)

Signature: *Crystal Slason*

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In connection with the above-identified patent application, enclosed herewith are the following:

- 2 -


- [x] Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- [x] Revocation of Powers of Attorney and Appointment of New Attorneys And/Or Agents, Total Pages: 2;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-0901.

If any fees are required for this submission, they may be charged to the account of the undersigned, U.S.P.T.O. Deposit Account No. 50-0901.

If the enclosed papers are considered incomplete or fees are required for this submission, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600 in Westborough, Massachusetts.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.



Barry W. Chapin, Esq.  
Attorney for Applicants  
U.S.P.T.O. Registration No. 39,934  
Chapin & Huang, LLC  
1700 West Park Drive  
Westborough, Massachusetts 01581  
Telephone: 508-366-9600  
Facsimile: 508-616-9805

Dated: March 26, 2003

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REVOCATION OF POWERS OF ATTORNEY  
AND APPOINTMENT OF NEW ATTORNEYS AND/OR AGENTS

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

OKENA, Inc., a corporation organized and existing under the laws of the Commonwealth of Massachusetts and having a usual place of business at 71 Second Avenue, Waltham, Massachusetts 02451, which is the owner and assignee of the entire right, title and interest in the above-identified pending U.S. patent application, hereby appoints Registered U.S. Patent Attorneys:

U.S. Serial No.: 10/071,328Attorney Docket No.: CIS03-19

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Please direct all telephone and facsimile or other correspondence to:

Barry W. Chapin, Esq.  
USPTO Registration No. 39,934  
CHAPIN & HUANG, L.L.C.  
Westborough Office Park  
1700 West Park Drive  
Westborough, Massachusetts 01581  
United States of America  
Telephone: (508) 366-9600  
Facsimile: (508) 616-9805

Respectfully submitted,

OKENA, INC.

By: 

Alan Kirby

Title: Vice PresidentDated: 3/26/03

ASSIGNMENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned Philip J. S. Gladstone of Framingham, MA 01702 and Jeffrey A. Kraemer of Wellesley, MA 02482 hereby

Sell, assign and transfer to Okena, Inc., a Delaware corporation having a place of business at 71 Second Avenue, Waltham, MA 02451, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent executed of even date herewith and entitled STATEFUL REFERENCE MONITOR, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

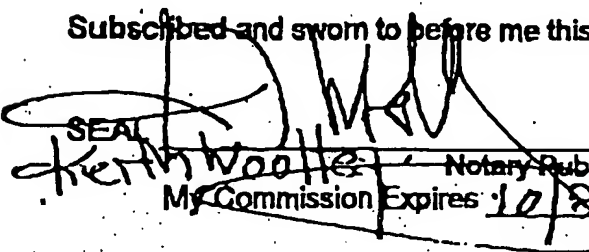
We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

7 February 2002  
Date

Philip Gladstone  
Philip J. S. Gladstone

STATE OF MASSACHUSETTS  
COUNTY OF MIDDLESEX

Subscribed and sworn to before me this 7<sup>th</sup> day of February 2002

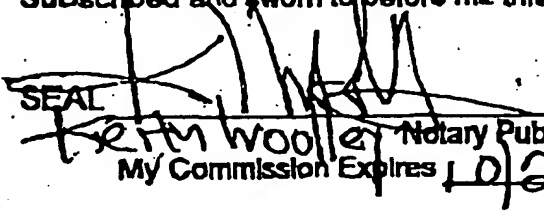
  
SEAL  
Keith Woolley Notary Public  
My Commission Expires 10/26/02

February 7, 2002  
Date

Jeffrey A. Kraemer  
Jeffrey A. Kraemer

STATE OF Massachusetts  
COUNTY OF Middlesex

Subscribed and sworn to before me this 7<sup>th</sup> day of February 2002

  
SEAL  
Keith Woolley Notary Public  
My Commission Expires 10/26/02